

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Francis C. Carroll, Jr.
Application No: 10/797,934
Filed: March 11, 2004
Inventor: Sports shoe cleats

Group Art Unit: 3728
Confirmation No. 4211
Examiner: Marie D. Patterson

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

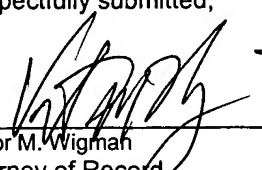
Sir:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicant(s) wish to make of record the references listed on the attached form PTO/SB/08 (substitute for PTO-1449). Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

- ☒ This Information Disclosure Statement is being filed (a) within three months of the filing date of the subject patent application, OR (b) before the mailing date of a first Office Action on the merits. No certification or fee is required.
- ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement. No fee is required.
- ☐ No item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement. No fee is required.
- ☐ A check in the amount of \$180.00 is enclosed under 37 CFR §1.17(p).
- ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. A check in the amount of \$180.00 is enclosed under 37 CFR §1.17(p).
- ☐ Each item of information contained in this information disclosure statement was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this statement.
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- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 23-2185. A duplicate copy of this sheet is enclosed.

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Date:

Respectfully submitted,



Victor M. Wigham
Attorney of Record
Registration No. 25,201



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of)
)
Francis C. CARROLL) Examiner: Marie D. Patterson
)
Application number: 10/797,934) Confirmation No. 4211
)
Filing date: March 11, 2004) Art Unit 3728
)
Reissue of U.S. Patent No. 6,530,162)
)
Issued March 11, 2003)
)
For: SPORTS SHOE CLEATS)

CONCISE EXPLANATION OF RELEVANCE UNDER 37 C.F.R. § 1.98(a)(3)(i)

Mail Stop Reissue
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In support of the Information Disclosure Statement filed concurrently herewith, the cited references are relevant because they, or foreign-language equivalents, have been cited in opposition proceedings in related European and Japanese patent applications.

Moreover, the Japanese references having the kind code "S" are registered designs, the equivalent of design patents in the United States. Therefore, the relevance of the "S" references stems from their drawings.

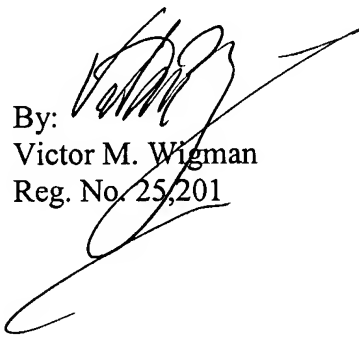
The German '430 reference is a utility model and is directed to a cleat for soccer shoes to prevent sliding on slippery surfaces. The opposer cited Fig. 5 of that reference. Fig. 5 and the corresponding text appear to disclose the manner in which the polyp bars of the cleats of the reference spread in contact with the soil, exposing a spike point 17 which causes the largest slide

reduction. Once the cleat is no longer in contact with the soil, the polyp bars return to their original position and surround the spike point.

For all other references not in English, abstracts in English have been obtained and attached to those references.

Respectfully solicited,

Francis C. Carroll

By: 
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/797,934 (Conf. No. 4211)
Filing Date	March 11, 2004
First Named Inventor	Francis C. Carroll
Art Unit	3728
Examiner Name	Marie D. Patterson
Attorney Docket Number	118839-00101

Sheet	1	of	3
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 688851 S	06-11-1986			
		JP 688851-001 S	02-22-1989			
		JP 688851-002-S	06-29-1989			
		JP 821778 S	08-08-1991			
		JP 832081 S	12-12-1991			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 832081 S	12-12-1991			
		JP 832081-001 S	03-13-1992			
		JP 832081-002 S	04-13-1992			
		JP 9-37804 A	02-10-1997	Y. Honma		abstract
		EP 0 524 861 A1	01-27-1993	J. L. Bouyer		abstract

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 91/15131 A1	10-17-1991	P. A. Kelly		In English
		WO 98/35575 A1	08-20-1998	J. J. Curley Jr.		In English
		GB 2 053 658 A	02-11-1981	A. A. Dassler		In English
		DE 1 962 430 U	06-15-1967	H. Goldschmidt	Fig. 5	

Examiner Signature		Date Considered	
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